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Fabrication of Crystalline WGM Microcavity using Ultra-precision Machining to Reveal the Size Dependence of Thermo-opto-mechanical Oscillation

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Whispering gallery mode (WGM) microcavity

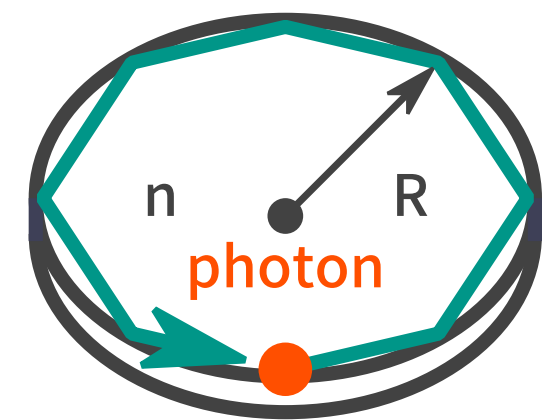


High Q & small V are preferred

$$Q = \left(Q_{\text{scat}}^{-1} + Q_{\text{abs}}^{-1} + Q_{\text{coup}}^{-1} + \dots \right)^{-1}$$

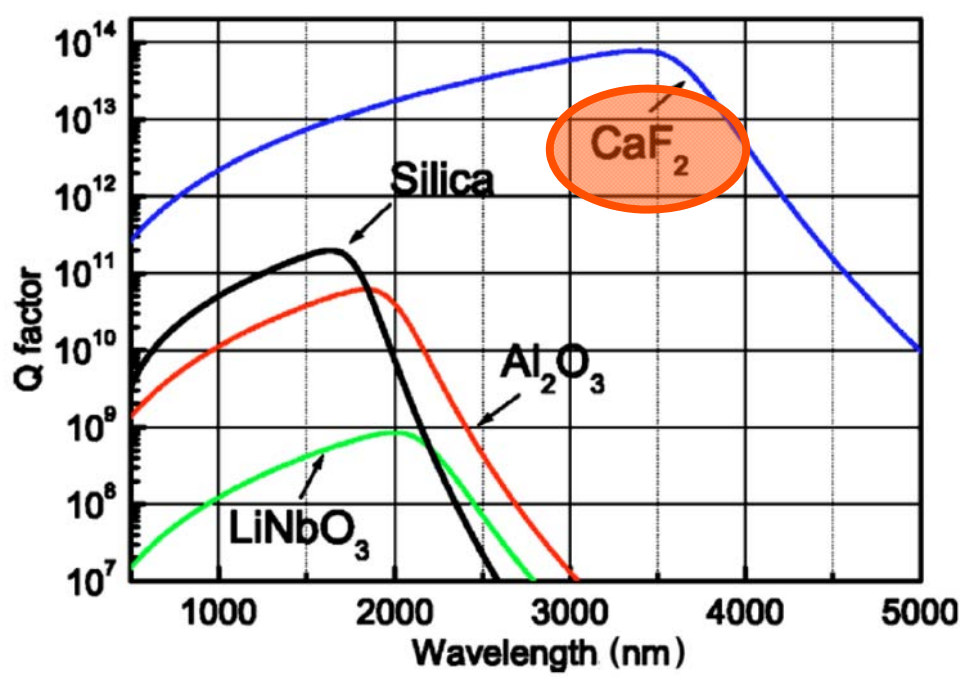
Q_{abs} : Material absorption dependent

WGM microcavity



circulating & trapped

Q_{abs} of various materials



CaF₂ microcavity:

Pros: Very high- Q ($Q > 10^9$)

Cons: Mechanical polishing

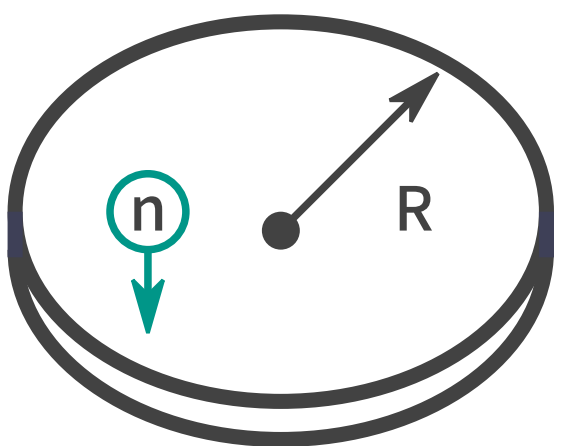
A. A. Savchenkov, et al., Phys. Rev. A 70, 051804 (2004).

Thermo-opto-mechanical (TOM) oscillation



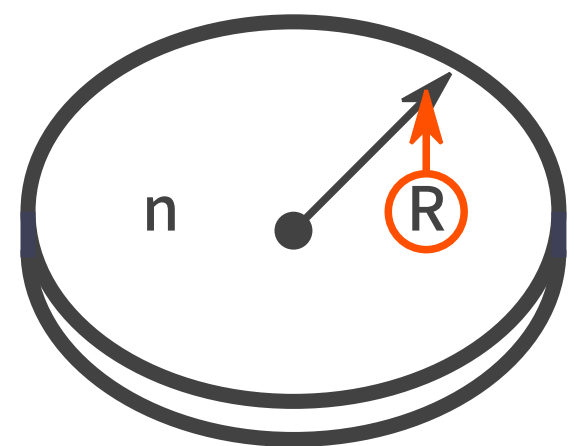
Origin: Negative thermo-optic coefficient (dn/dT) of CaF_2

Thermo-optic effect



n decreases
→ L becomes **smaller**

Thermal expansion effect



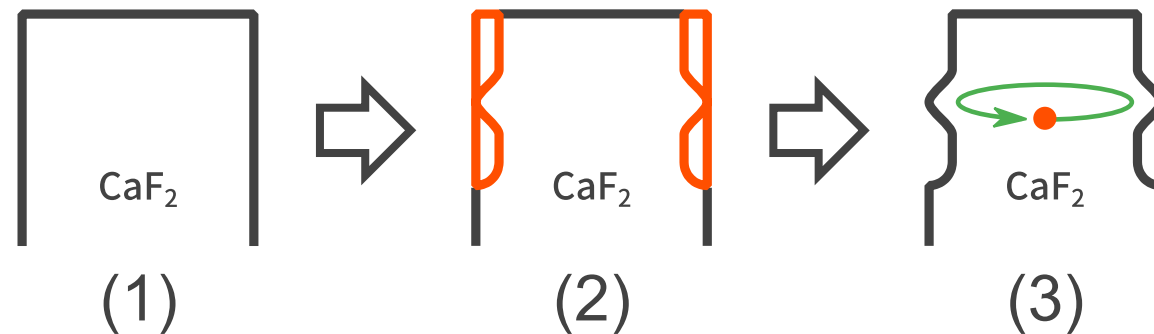
R increases
→ L becomes **larger**

n : refractive index, R : radius, L : cavity length

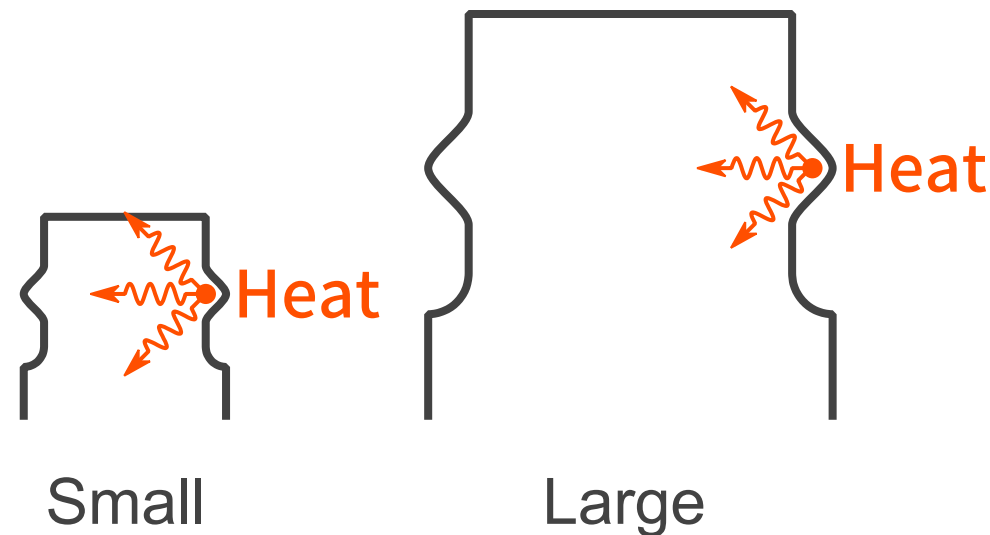


Research goals

(1) Fabricate crystalline (CaF_2) WGM microcavities



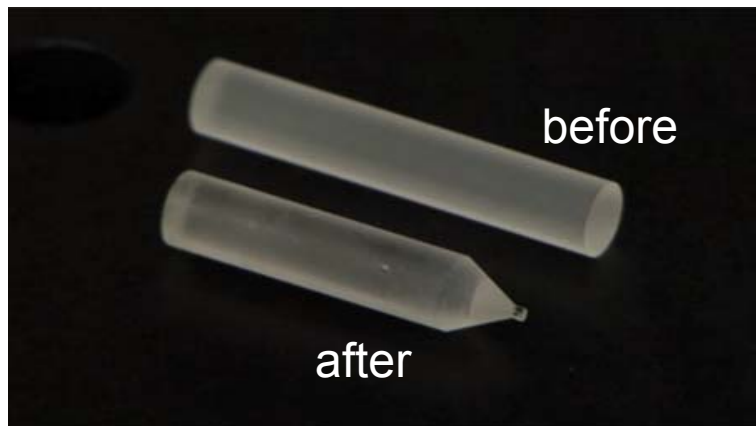
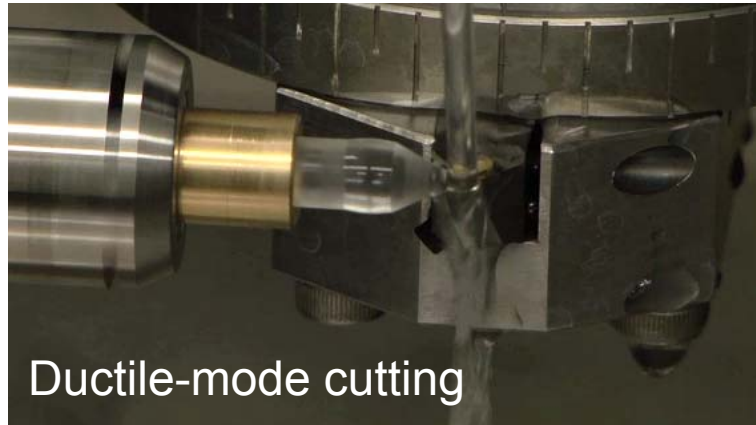
(2) Investigate size dependence of TOM oscillation





Fabrication: Lathe cutting

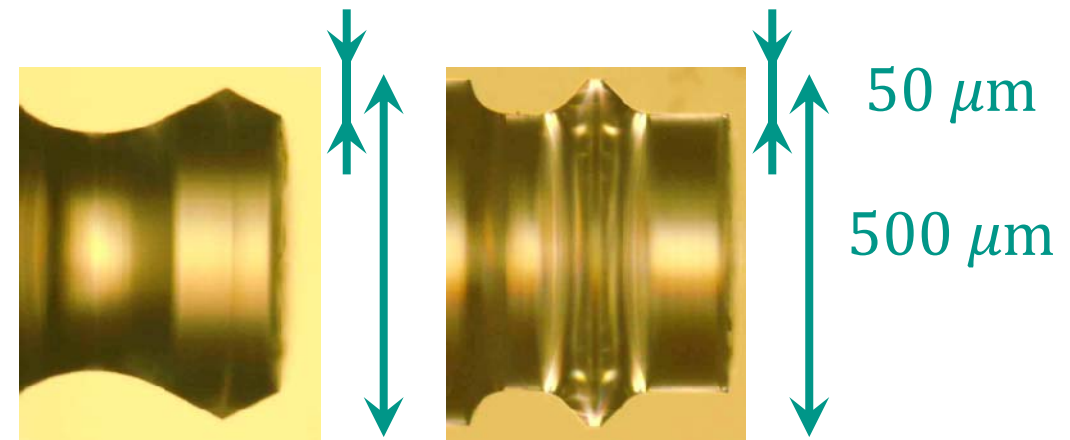
Ultra-precision cutting



Parameters:

- Cutting depth : 50 nm
- Rotation speed : 1000 min⁻¹

Fabricated CaF₂ microcavity



$$Q = \left(Q_{\text{scat}}^{-1} + Q_{\text{abs}}^{-1} + Q_{\text{coup}}^{-1} + \dots \right)^{-1}$$

Q_{scat} : Surface scattering

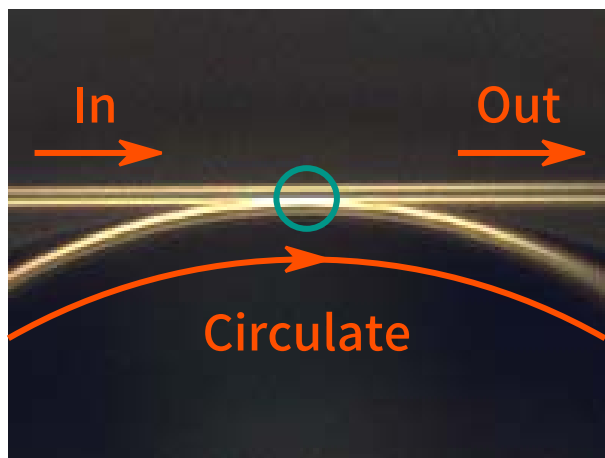
surface roughness (R_{rms}) = **3 nm**

∴ **ultra-precision cutting**

Optical measurement & Surface roughness



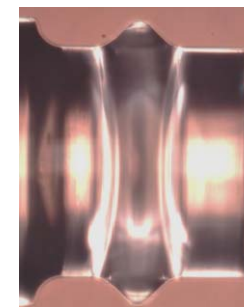
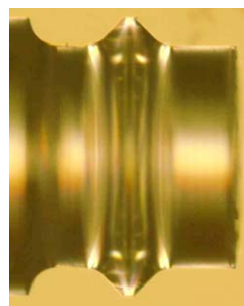
Q measurement



Parameters:

- Fiber diameter: 3~5 μm
- Input power: 1 mW
- Scan speed: 1 nm/s

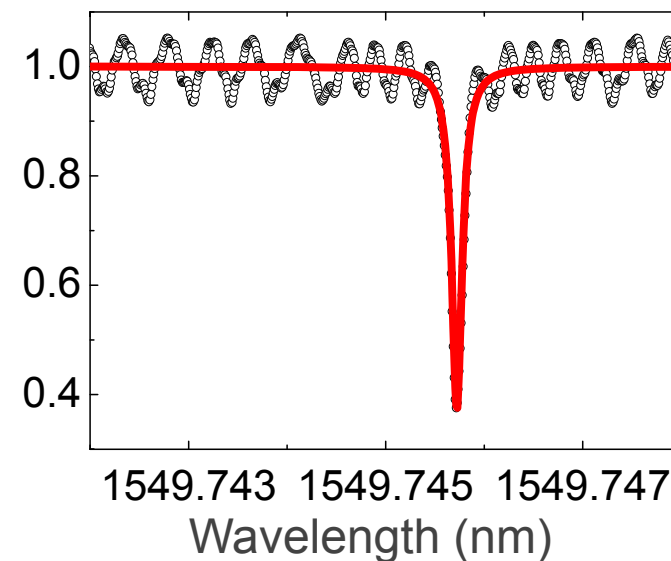
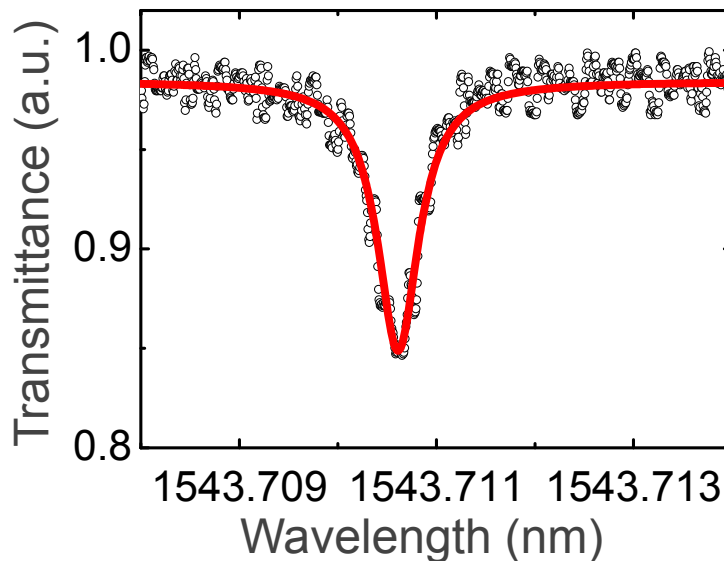
Before polishing \longrightarrow After polishing



Unchanged \longrightarrow

$R_{\text{rms}} = 3 \text{ nm}$

$R_{\text{rms}} = 3 \text{ nm}$

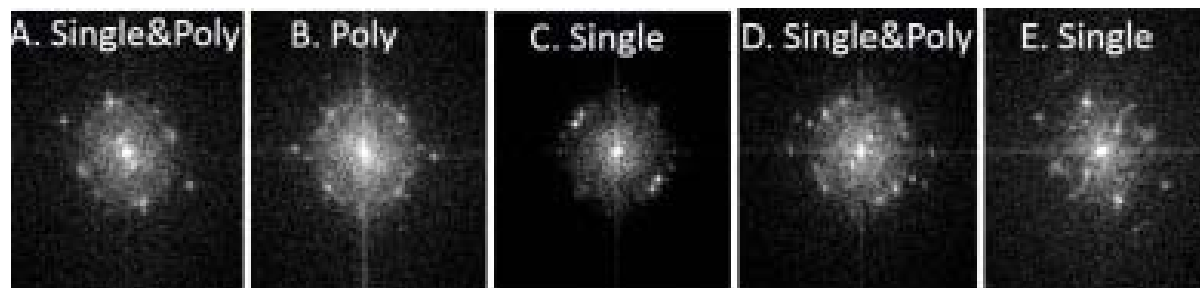
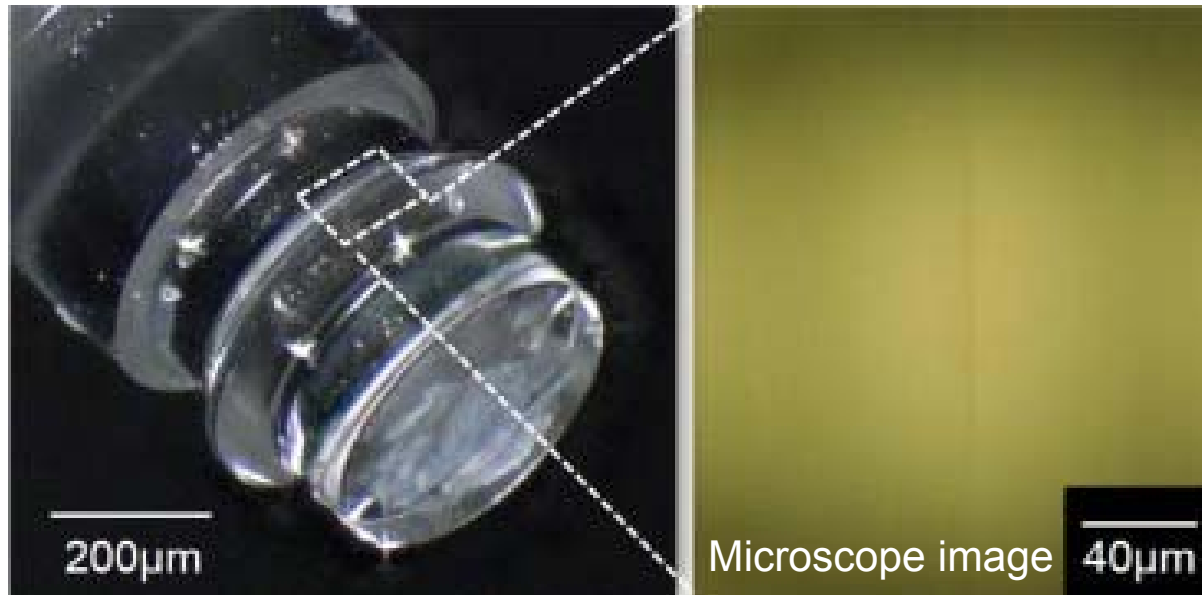


Increased \longrightarrow

$Q = 3.0 \times 10^6$

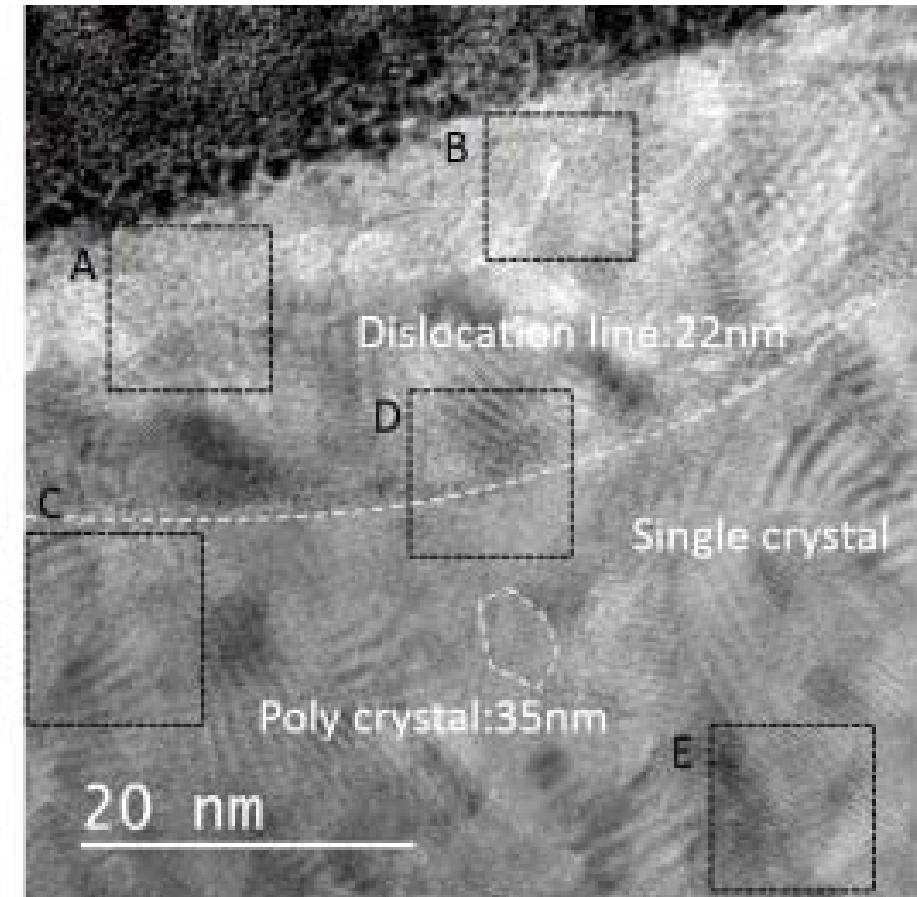
$Q = 1.2 \times 10^7$

Surface: Dislocation loops



Diffraction images

TEM image



Y. Kakinuma, *et al.*, CIRP Annuals (2015) in press.

Polycrystalline layer must be removed



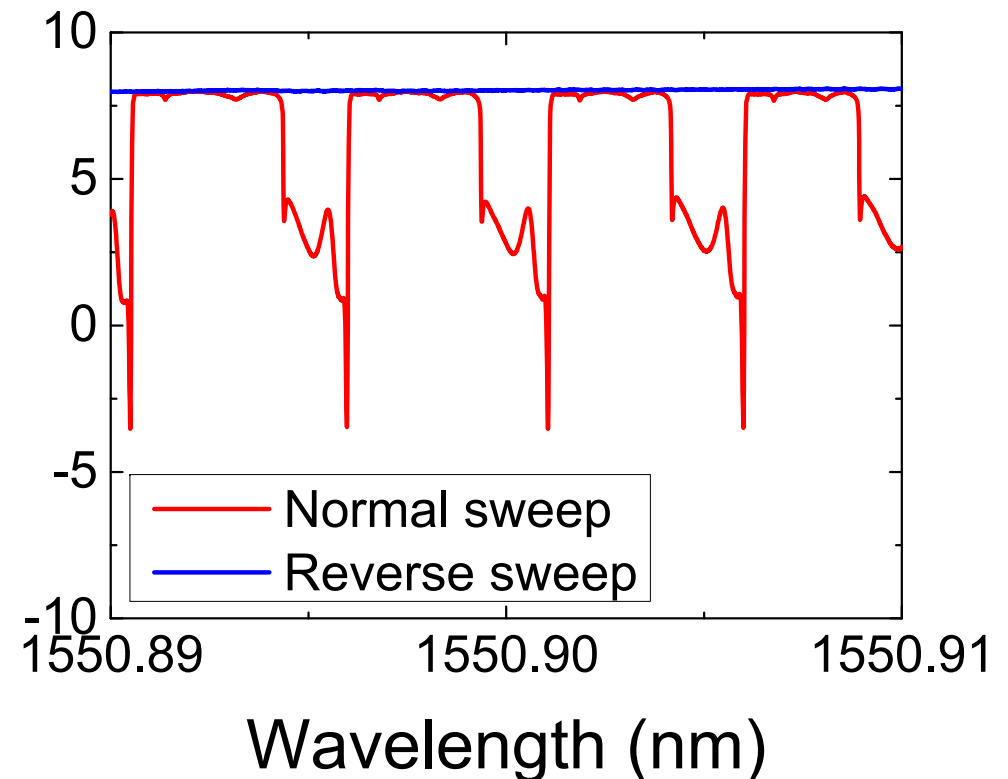
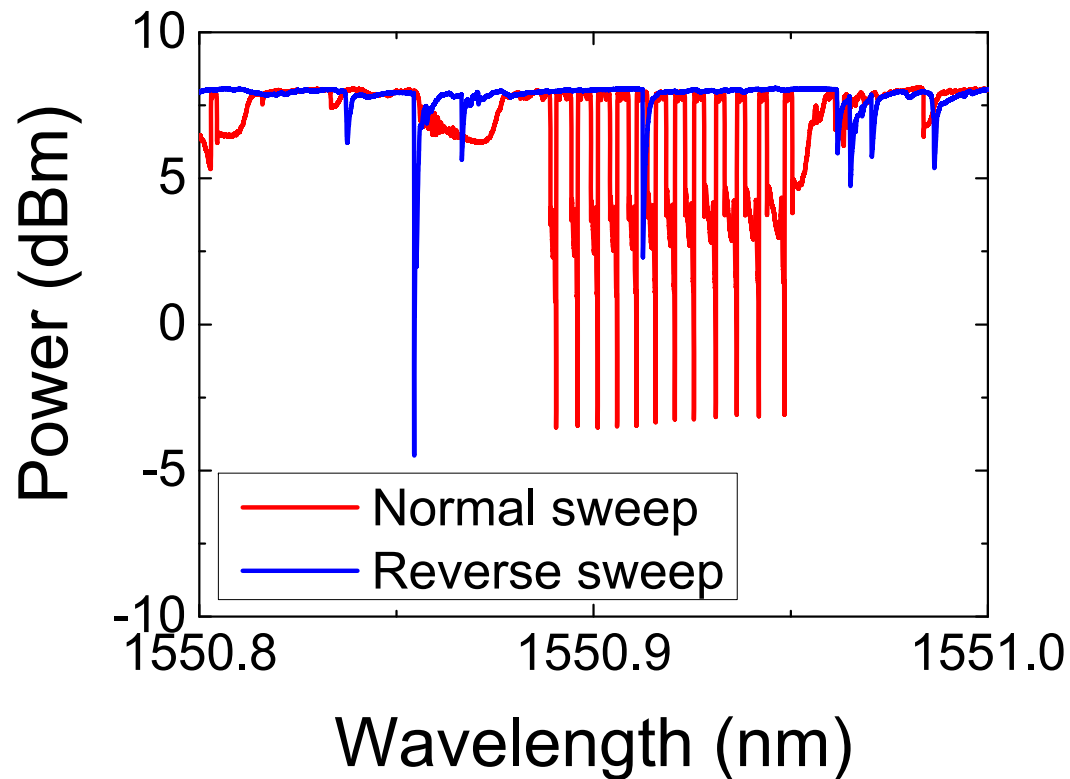
TOM oscillation: Wavelength scan

Normal sweep: from short λ to long λ

Reverse sweep: from long λ to short λ

Parameters:

- Input power: 1 W
- Scan speed: 0.5 nm/s



Oscillations observed when employing **normal sweep**



TOM oscillation: Principle

Resonant wavelength (λ_r) :

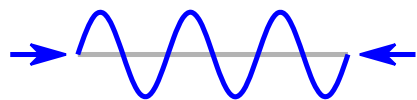
$$\lambda_r(t) = \lambda_0 \left(1 + \frac{dn}{dT_1} \cdot \Delta T_1(t) + \alpha \cdot \Delta T_2(t) \right) \dots (1)$$

(ΔT : temperature change)

Fast response

$\frac{dn}{dT_1} < 0$: Thermo-optic (TO)

$\Delta T_1(t)$: ΔT in optical mode volume

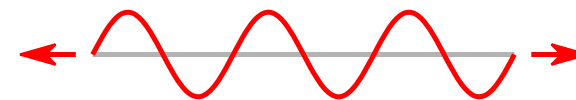


λ_r shifts toward **short** wavelength

Slow response

$\alpha > 0$: Thermal expansion (TE)

$\Delta T_2(t)$: ΔT in entire cavity volume



λ_r shifts toward **long** wavelength

Different time scales cause oscillations

TOM oscillation: Sweep direction dependency



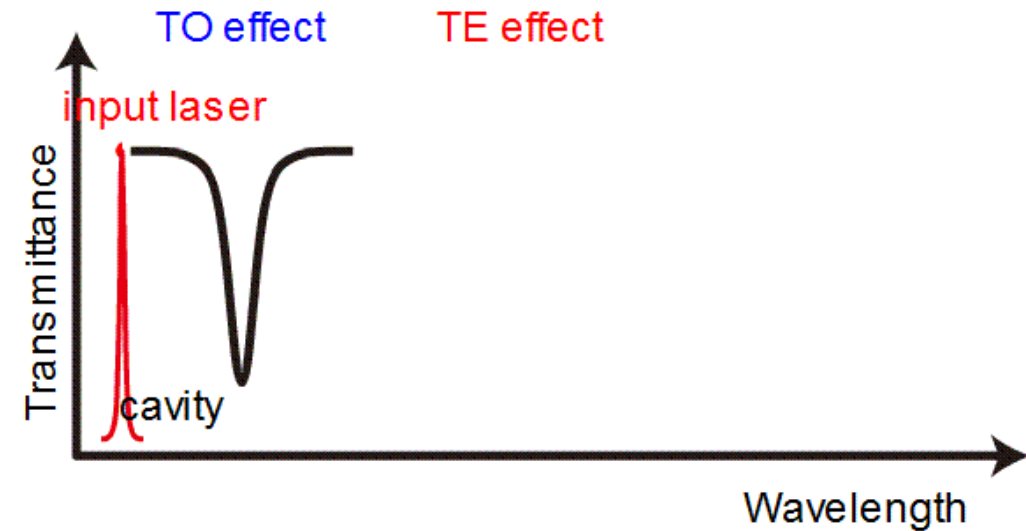
Normal sweep

TO effect > TE effect

↕
by turns

TO effect < **TE effect**

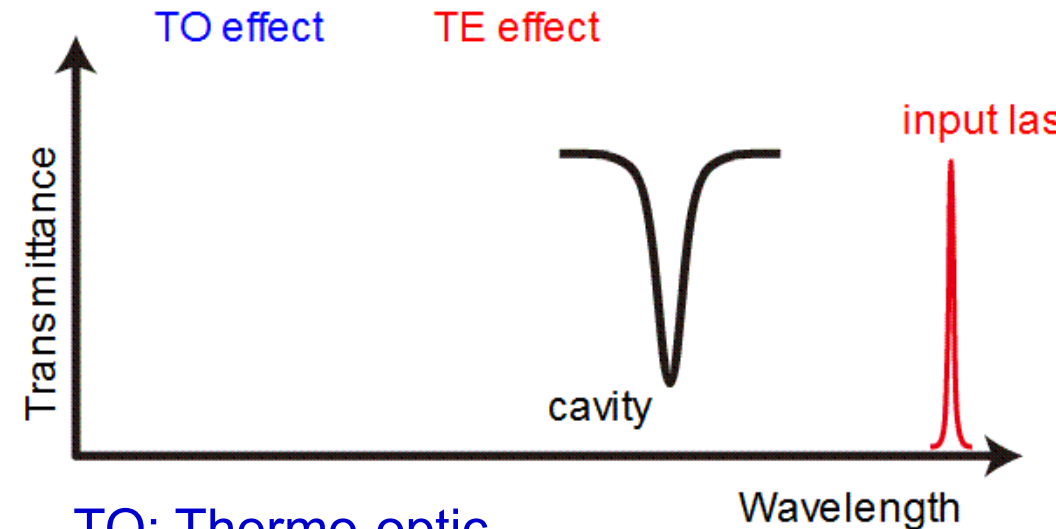
→ Oscillation starts
TO first TE follows



Reverse sweep

TO effect > TE effect

→ Oscillation do not occur



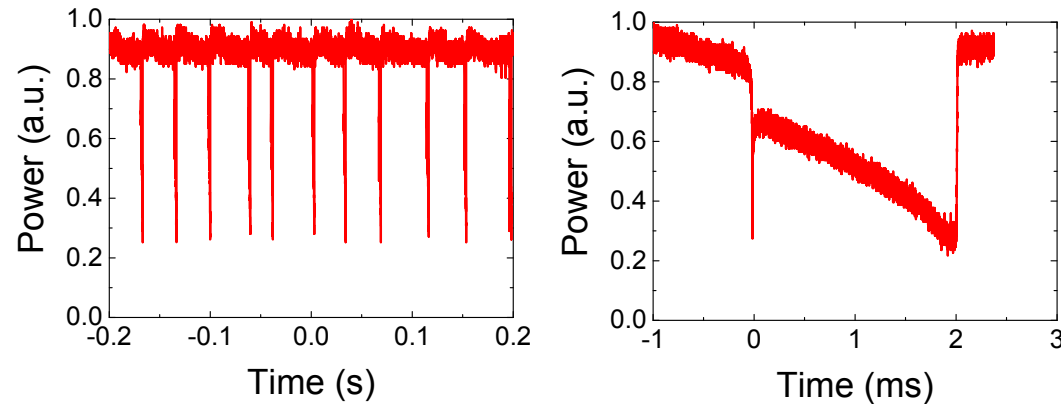
TO: Thermo-optic

TE: Thermal expansion

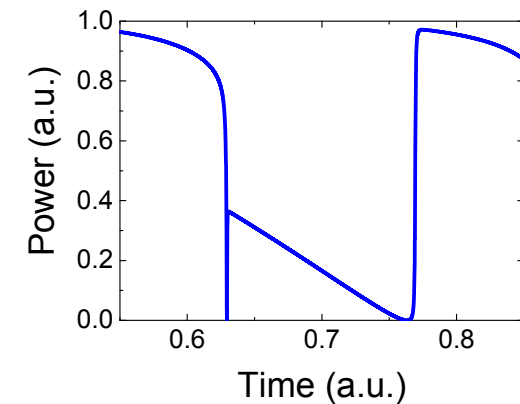


TOM oscillation: Fixed input wavelength

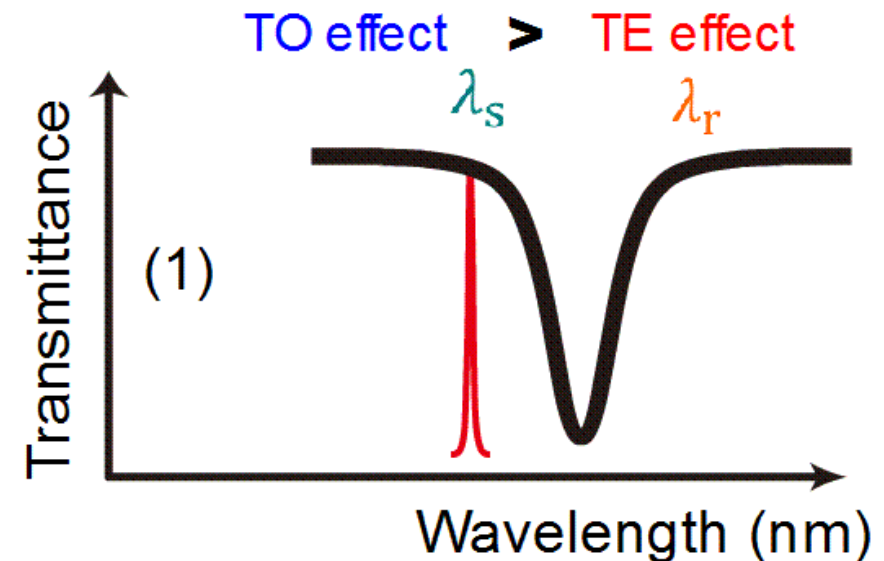
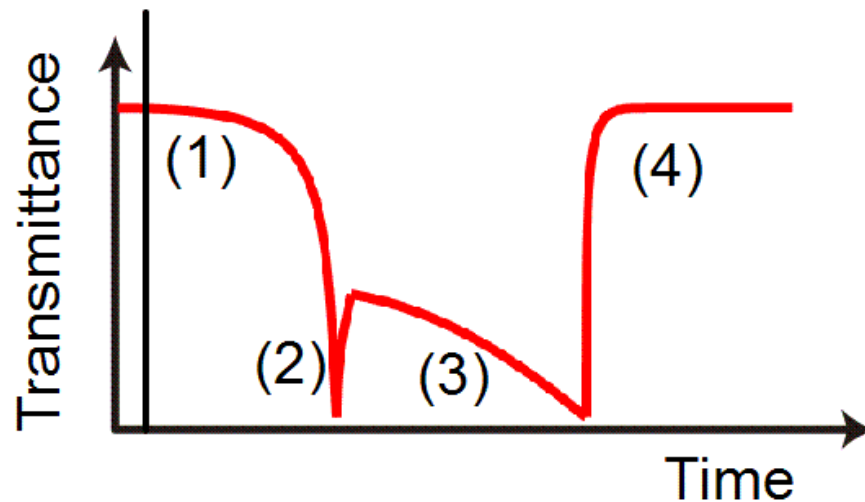
Experiment



Simulation



Oscillatory behavior (λ_r : resonance, λ_s : input)



TO: Thermo-optic
TE: Thermal expansion

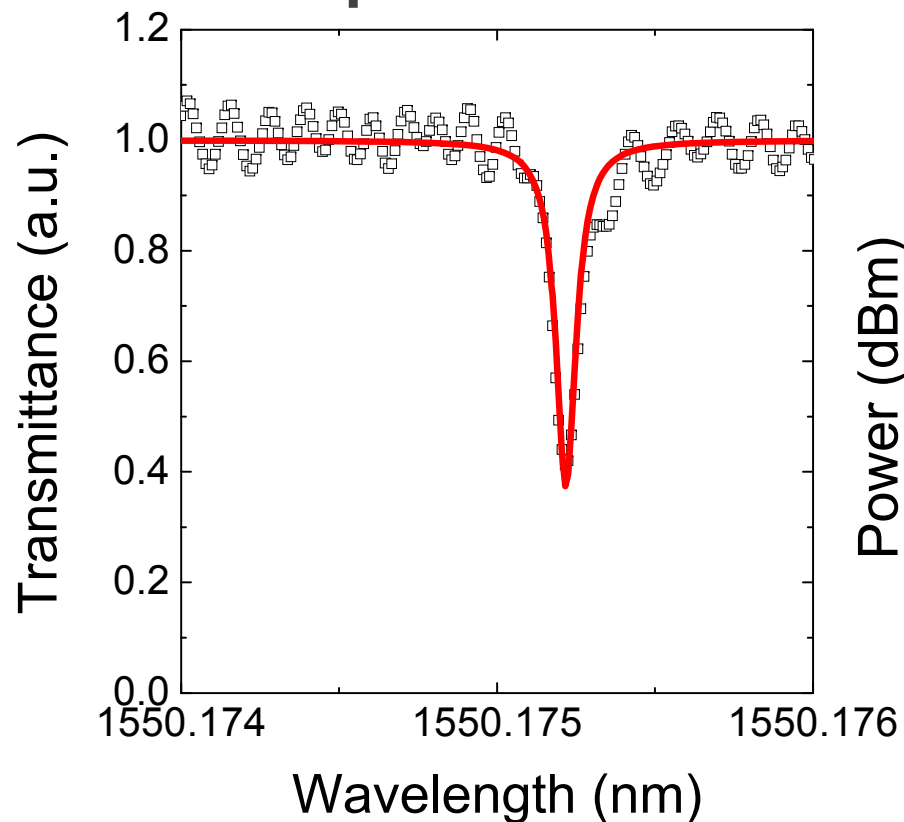
TOM oscillation: Size dependence (experiment)

Cavity



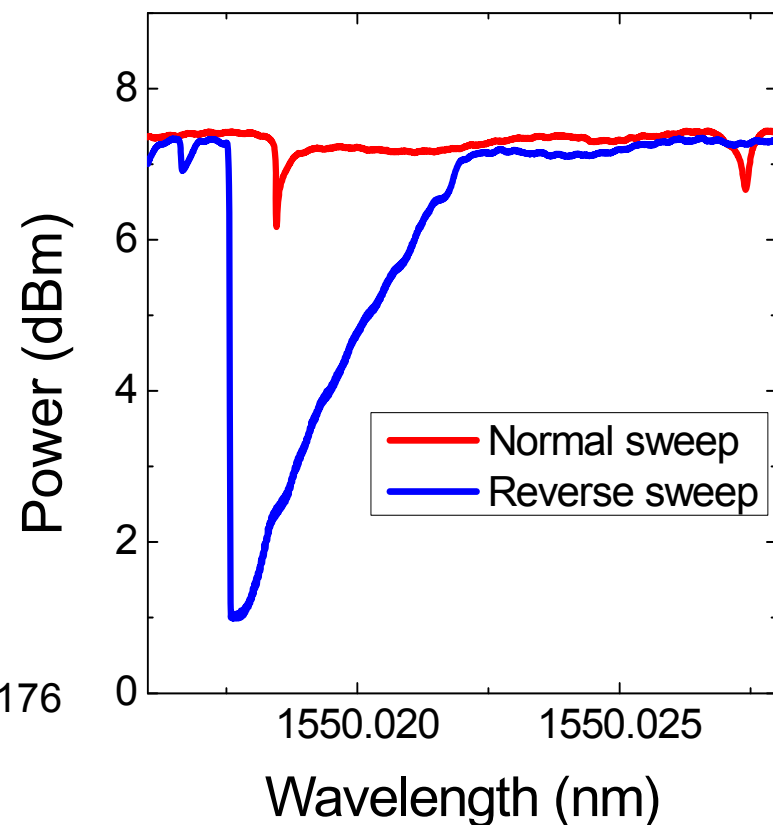
6 mm

Spectrum



$$Q = 2.0 \times 10^7$$

Nonlinear experiment

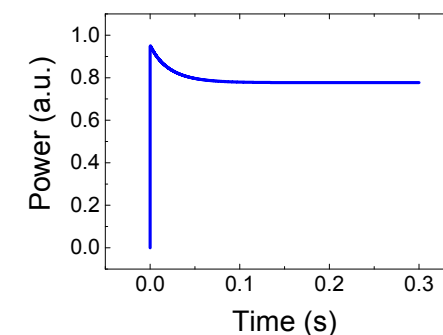
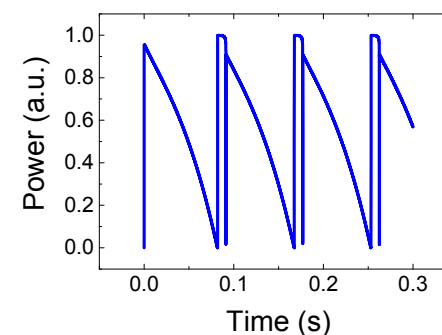
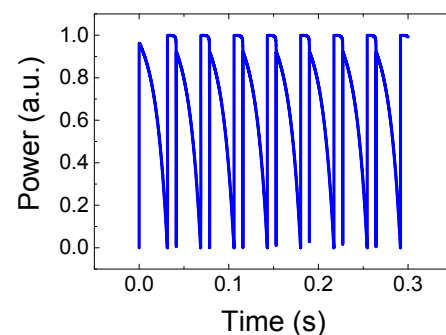


No oscillation was observed

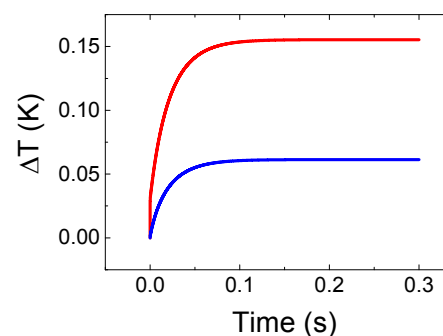
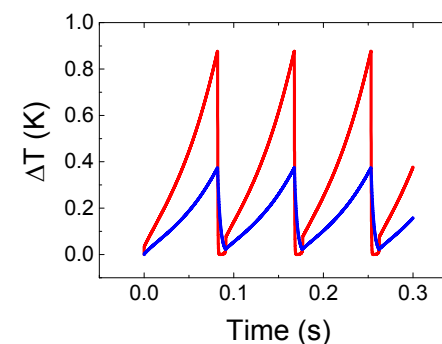
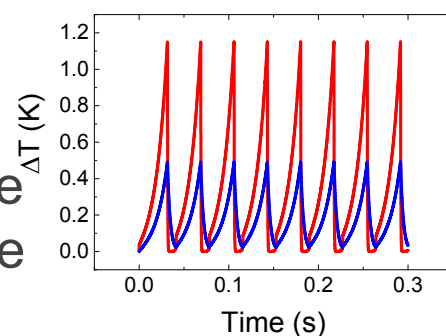
TOM oscillation: Size dependence (calculation)

Diameter (D)500 μm 600 μm 700 μm

Transmittance

 ΔT

— : mode volume
— : cavity volume



Diameter \propto Thermal diffusion time \propto Oscillatory frequency

No oscillation is observed when D is larger than 700 μm



Summary

Summary

- ... We demonstrated the fabrication of CaF_2 WGM microcavities with an ultra-precision cutting process
- ... We studied the size dependence and observed the TOM oscillation with a small cavity ($D < 700 \mu\text{m}$)